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The free Dirac equation is  $(i\gamma^\mu \partial_\mu - m)\psi = 0$

for free particle we seek four momentum eigenfunction of Dirac equation of the form  $\psi = u(\vec{k}) e^{ik \cdot x}$  --- (1)

where  $k \cdot x = k_\mu x^\mu = \omega t - \vec{k} \cdot \vec{x}$  four component spinor independent of  $x$ .

We get from the Dirac equation

$$\therefore H u = (\vec{\alpha} \cdot \vec{p} + \beta m) u = E u \quad \text{--- (2)}$$

There are 4-independent solution of this equation, two with  $E > 0$  and two with  $E < 0$ . First take particle at rest, i.e.  $\vec{p} = 0$ . Then

$$H u = \beta m u = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} m u = \begin{pmatrix} mI & 0 \\ 0 & -mI \end{pmatrix} u = E u$$

and obviously it has eigenvectors

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{--- (3)}$$

degenerate with  $E = +m$

degenerate with  $E = -m$ .

For  $\vec{p} \neq 0$  we have;  $4 \times 1$  matrix

$$H u = (\vec{\alpha} \cdot \vec{p} + \beta m) u = \left[ \begin{pmatrix} 0 & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & 0 \end{pmatrix} + \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} m \right] \begin{pmatrix} u_A \\ u_B \end{pmatrix} = E \begin{pmatrix} u_A \\ u_B \end{pmatrix}$$

$$\text{or, } \begin{pmatrix} mI & \vec{\sigma} \cdot \vec{p} \\ +\vec{\sigma} \cdot \vec{p} & -mI \end{pmatrix} \begin{pmatrix} u_A \\ u_B \end{pmatrix} = E \begin{pmatrix} u_A \\ u_B \end{pmatrix} \quad \text{--- (4)}$$

The above matrix equation reduces to:

$$\left. \begin{aligned} \vec{\sigma} \cdot \vec{p} u_B &= (E - m) u_A \\ \vec{\sigma} \cdot \vec{p} u_A &= (E + m) u_B \end{aligned} \right\} \quad \text{--- (5)}$$

For the two  $E > 0$  solutions; one can take  $u_A^{(s)} = \chi^{(s)}$ , where

$$\chi^{(1)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ and } \chi^{(2)} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{--- (6)}$$

The corresponding lower components  $u_B$  of  $u$  are then specified by

the second of equation (5):

$$u_B = \left( \frac{\vec{\sigma} \cdot \vec{p}}{E + m} \right) u_A^{(s)} = \frac{\vec{\sigma} \cdot \vec{p}}{E + m} \chi^{(s)} \text{ and hence the positive energy four spinor solutions are}$$

$$u^{(s)} = \begin{pmatrix} u_A^{(s)} \\ u_B^{(s)} \end{pmatrix} = N \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E + m} \chi^{(s)} \end{pmatrix}; \quad E > 0 \text{ with } s = 1, 2$$

$N = \text{Normalisation constant}$

For  $E < 0$  we take  $u_B^{(s)} = \chi^{(s)}$  and then from first eqn of (5) we get

$$u_A^{(s)} = \frac{\vec{\sigma} \cdot \vec{p}}{E - m} u_B^{(s)} = - \frac{\vec{\sigma} \cdot \vec{p}}{|E| + m} \chi^{(s)}; \quad E = -|E| \text{ for } E < 0$$



we obtain  $u^{(s+2)} = \begin{pmatrix} u_A^{(s)} \\ u_B^{(s)} \end{pmatrix} = N \begin{pmatrix} -\frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix}$  with  $E < 0$  with  $s=1, 2$

For electron with momentum  $\vec{p}$ , we have four solutions  $u^{(s)}$ , corresponding to  $E > 0$  and  $u^{(3,4)}$  for  $E < 0$ .

Home work Verify  $u^{(r)\dagger} u^{(s)} = 0 \quad \forall r \neq s$  with  $r, s = 1, 2, 3, 4$ .

Let us define a operator  $\Sigma_x$  as  $\Sigma_x = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix} = \vec{\sigma} \begin{pmatrix} \mathbf{I} & 0 \\ 0 & \mathbf{I} \end{pmatrix} = \vec{\sigma} \cdot \mathbf{I}$   
then  $\Sigma_x = \begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$  ↓  
4x4

We know, the expectation value of observable 'A' is

$$\frac{d\langle A \rangle}{dt} = \frac{1}{i\hbar} \langle [A, H] \rangle + \left\langle \frac{\partial A}{\partial t} \right\rangle$$

for the constant of motion  $\frac{d\langle A \rangle}{dt} = 0 \Rightarrow [A, H] = 0$  for operator A having no explicit time dependence.

Now  $\hat{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$ ,  $\hat{\alpha} = \begin{pmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{pmatrix}$ ,  $\hat{\beta} = \begin{pmatrix} \mathbf{I} & 0 \\ 0 & -\mathbf{I} \end{pmatrix}$

$$H = \hat{\alpha} \cdot \vec{p} + \beta m + V$$

$$\text{Now } i\hbar \frac{d\Sigma_x}{dt} = \Sigma_x H - H \Sigma_x = \Sigma_x (\vec{\alpha} \cdot \vec{p} + \beta m + V) - (\vec{\alpha} \cdot \vec{p} + \beta m + V) \Sigma_x$$

$$\Rightarrow i\hbar \frac{d\Sigma_x}{dt} = \Sigma_x (\alpha_x p_x + \alpha_y p_y + \alpha_z p_z + \beta m + V) - (\alpha_x p_x + \alpha_y p_y + \alpha_z p_z + \beta m + V) \Sigma_x$$

$\Sigma_x = \begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix}$  so it obviously commutes with all quantities of the above equation except  $\alpha_y$  and  $\alpha_z$ .

$$\text{Hence } i\hbar \frac{d\Sigma_x}{dt} = (\Sigma_x \alpha_y - \alpha_y \Sigma_x) p_y + (\Sigma_x \alpha_z - \alpha_z \Sigma_x) p_z$$

Now  $[\Sigma_x, \alpha_x] = 0$  and  $[\Sigma_x, \beta] = 0$

$$\text{Now } \Sigma_x \alpha_y - \alpha_y \Sigma_x = \begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix} \begin{pmatrix} 0 & \sigma_y \\ \sigma_y & 0 \end{pmatrix} - \begin{pmatrix} 0 & \sigma_y \\ \sigma_y & 0 \end{pmatrix} \begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix}$$

$$= \begin{pmatrix} 0 & [\sigma_x, \sigma_y] \\ [\sigma_x, \sigma_y] & 0 \end{pmatrix} = \begin{pmatrix} 0 & 2i\sigma_z \\ 2i\sigma_z & 0 \end{pmatrix} = 2i\alpha_z$$

$$\text{Similarly } \Sigma_x \alpha_z - \alpha_z \Sigma_x = -2i\alpha_y$$

$$\therefore i\hbar \frac{d\Sigma_x}{dt} = 2i (\alpha_z p_y - \alpha_y p_z) \dots \dots \dots (1)$$



Again we want to calculate

$$i\hbar \frac{dL_x}{dt} = [L_x, H]$$

$$= L_x(\vec{\alpha} \cdot \vec{p} + \beta m + V) - (\vec{\alpha} \cdot \vec{p} + \beta m + V) L_x$$

$L_x$  commutes with all ~~the~~ quantities except  $p_y$  and  $p_z$ .

$$i\hbar \frac{dL_x}{dt} = \alpha_y [L_x, p_y] + \alpha_z [L_x, p_z]$$

$$\text{But } [L_x, p_y] = i\hbar p_z \text{ and } [L_x, p_z] = -i\hbar p_y$$

$$\therefore i\hbar \frac{dL_x}{dt} = i\hbar (\alpha_y p_z - \alpha_z p_y) \quad \dots \dots \dots (2)$$

from (1) and (2) we get

$$i\hbar \frac{d}{dt} \left( L_x + \frac{\hbar}{2} \sum_{\alpha} \sigma_{\alpha} \right) = 0; \text{ also for } y \text{ and } z \text{ components.}$$

$$\text{Hence we can write } i\hbar \frac{d}{dt} \left( \vec{L} + \frac{\hbar}{2} \vec{\Sigma} \right) = 0$$

Thus  $\vec{J} = \vec{L} + \frac{\hbar}{2} \vec{\Sigma}$  commutes with  $H$  and is a constant of motion.  
ie.  $[H, \vec{J}] = 0$

□ Dirac equation for particle with zero rest mass:—

For ~~massive~~ massive particle we already get

$$u^{(s)} = N \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi^{(s)} \end{pmatrix}; \text{ for } E > 0; \chi^{(1)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \text{and } \chi^{(2)} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

and

$$u^{(s+2)} = N \begin{pmatrix} -\frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} = \begin{pmatrix} \frac{\vec{\sigma} \cdot \vec{p}}{E-m} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} \text{ for } E < 0; s=1,2$$

For  $m=0$ ; therefore

$$\vec{\sigma} \cdot \vec{p} u_B = E u_A$$

$$\vec{\sigma} \cdot \vec{p} u_A = E u_B$$

zero rest mass  $\rightarrow E = |\vec{p}|$  as  $E^2 = p^2 c^2 + m^2 c^4$  and  $m=0$ .

Hence  $\hat{n} = \vec{p}/E$  is a unit vector along  $\vec{p}$  for  $E > 0$  and  $\hat{n}$  is antiparallel to  $\vec{p}$  for  $E < 0$ . Thus.

$$\begin{cases} \vec{\sigma} \cdot \hat{n} u_B = u_A \\ \vec{\sigma} \cdot \hat{n} u_A = u_B \end{cases}$$

$$\vec{\sigma} \cdot \hat{n} u_A = u_B$$

$$\therefore u^{(s)} = N \begin{pmatrix} \chi^{(s)} \\ \vec{\sigma} \cdot \hat{n} \chi^{(s)} \end{pmatrix}; E > 0, s=1,2$$

$$\therefore u^{(s+2)} = N \begin{pmatrix} \vec{\sigma} \cdot \hat{n} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix}; E < 0; s=1,2$$



Now  $\vec{\sigma} \cdot \hat{n} u^{(s)} = N \begin{pmatrix} \vec{\sigma} \cdot \hat{n} \chi^{(s)} \\ (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \end{pmatrix} = N \begin{pmatrix} \vec{\sigma} \cdot \hat{n} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} = u^{(s+2)}$

in the case of  $m=0$ , since  $(\vec{\sigma} \cdot \hat{n}) (\vec{\sigma} \cdot \hat{n}) = \hat{n} \cdot \hat{n} + i \vec{\sigma} \cdot (\hat{n} \times \hat{n}) = 1$

Thus the operator  $\gamma^5 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$  and  $\vec{\sigma} \cdot \hat{n}$  have same action, because  
 $\gamma^5 u^{(s)} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} N \begin{pmatrix} \chi^{(s)} \\ (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \end{pmatrix} = N \begin{pmatrix} (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} = u^{(s+2)}$

Similarly,  $\gamma^5 u^{(s+2)} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} N \begin{pmatrix} (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} = N \begin{pmatrix} \chi^{(s)} \\ (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \end{pmatrix} = u^{(s)}$

The operator  $\vec{\sigma} \cdot \hat{n}$  commutes with Dirac Hamiltonian for zero rest mass particle since in this case  $H = \hat{\alpha} \cdot \vec{p} = \begin{pmatrix} 0 & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & 0 \end{pmatrix} = E \begin{pmatrix} 0 & \vec{\sigma} \cdot \hat{n} \\ \vec{\sigma} \cdot \hat{n} & 0 \end{pmatrix}$  which obviously commutes with  $\vec{\sigma} \cdot \hat{n}$ . Thus it is a constant of motion for massless particles.

Let us write

$$u^{(s)} = N \begin{pmatrix} \chi^{(s)} \\ (\vec{\sigma} \cdot \hat{n}) \chi^{(s)} \end{pmatrix} = \begin{pmatrix} \vec{\chi} \\ \vec{\varphi} \end{pmatrix}$$

Instead of  $\vec{\chi}$  and  $\vec{\varphi}$ , one can introduce combination of them:

$$\vec{\Phi} = \frac{1}{2} (\vec{\chi} + \vec{\varphi}) = \frac{1}{2} (1 + \vec{\sigma} \cdot \hat{n}) \vec{\chi}$$

$$\text{and } \vec{F} = \frac{1}{2} (\vec{\chi} - \vec{\varphi}) = \frac{1}{2} (1 - \vec{\sigma} \cdot \hat{n}) \vec{\chi}$$

The functions  $\vec{\Phi}$  and  $\vec{F}$  have two components and

$$\begin{aligned} \vec{\sigma} \cdot \hat{n} \vec{\Phi} &= (\vec{\sigma} \cdot \hat{n}) \frac{1}{2} (1 + \vec{\sigma} \cdot \hat{n}) \vec{\chi} = \vec{\Phi} \\ \vec{\sigma} \cdot \hat{n} \vec{F} &= (\vec{\sigma} \cdot \hat{n}) \frac{1}{2} (1 - \vec{\sigma} \cdot \hat{n}) \vec{\chi} = -\vec{F} \end{aligned} \left. \begin{array}{l} \text{Thus } \vec{\Phi} \text{ and } \vec{F} \text{ are two} \\ \text{eigenfunctions of helicity} \\ \text{operator } \vec{\sigma} \cdot \hat{n} \text{ with} \\ \text{eigenvalues } +1 \text{ and } -1. \end{array} \right\}$$

Since  $\vec{\sigma} \cdot \hat{n}$  has the same action upon the wave function as  $\gamma^5$  we can write their eigenfunction in the form:

$$\vec{\Phi} = \frac{1}{2} (1 + \gamma^5) u^{(s), (s+2)} \quad \text{and} \quad \vec{F} = \frac{1}{2} (1 - \gamma^5) u^{(s), (s+2)} \quad \left| \begin{array}{l} \text{where} \\ 1 + \gamma^5 = \begin{pmatrix} I & I \\ I & I \end{pmatrix} \end{array} \right.$$

### Dirac Equation and relativistic corrections to the motion of an electron in electromagnetic field:-

Eqn of motion for free particle  $\longrightarrow$  Eqn of motion in EM field ( $A^0, \vec{A}$ )

$$\hat{p} \longrightarrow \vec{p} - \frac{e\vec{A}}{c} \quad \text{and} \quad E \longrightarrow E - eA_0$$

$$\text{where } \vec{B} = \vec{\nabla} \times \vec{A} \quad \text{and} \quad A^0 = A_0 = \phi$$



Thus  $c \vec{\sigma} \cdot \vec{p} u_B = (E - mc^2) u_A$

$c \vec{\sigma} \cdot \vec{p} u_A = (E + mc^2) u_B$

goes over to  $c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) u_B = (E - eA_0 - mc^2) u_A$

$c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) u_A = (E - eA_0 + mc^2) u_B$

Let us study the set of equations for the case of non-relativistic motion in a weak field, so that the following assumptions

$E = E' + mc^2$  ;  $|E' - eA_0| \ll mc^2$

Thus  $E' u_A = c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) u_B + eA_0 u_A$  ----- (1)

$(E' + 2mc^2) u_B = c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) u_A + eA_0 u_B$  ----- (2)

$u_B = \frac{c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) u_A}{[(E' + 2mc^2) - eA_0]} \approx \frac{\vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})}{2mc} u_A$  ; since

$|E' - eA_0| \ll mc^2$  in the weak field limit.

Substituting this value in (1) we get

$E' u_A \approx \frac{[c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})] [c \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})]}{2mc} u_A + eA_0 u_A$

$= \left\{ \frac{[\vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})]^2}{2m} + eA_0 \right\} u_A$

Since  $(\vec{\sigma} \cdot \vec{A})(\vec{\sigma} \cdot \vec{B}) = \vec{A} \cdot \vec{B} + i \vec{\sigma} \cdot (\vec{A} \times \vec{B})$

$\Rightarrow [\vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})]^2 = (\vec{p} - \frac{e\vec{A}}{c}) \cdot (\vec{p} - \frac{e\vec{A}}{c}) + i \vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c}) \times (\vec{p} - \frac{e\vec{A}}{c})$

$= (\vec{p} - \frac{e\vec{A}}{c})^2 + i \vec{\sigma} \cdot (\underbrace{ik\vec{v} + \frac{e\vec{A}}{c}}_{\downarrow}) \times (\underbrace{ik\vec{v} + \frac{e\vec{A}}{c}}_{\downarrow})$

$= \frac{e\hbar}{c} \vec{\sigma} \cdot (\underbrace{\vec{v} \times \vec{A} + \vec{A} \times \vec{v}}_{\downarrow \vec{v} \times \vec{A}})$   $\downarrow$  H.W

$\Rightarrow [\vec{\sigma} \cdot (\vec{p} - \frac{e\vec{A}}{c})]^2 = (\vec{p} - \frac{e\vec{A}}{c})^2 - \frac{e\hbar}{c} \vec{\sigma} \cdot (\vec{v} \times \vec{A})$

$\therefore E' u_A = \left[ \frac{(\vec{p} - \frac{e\vec{A}}{c})^2}{2m} + eA_0 - \frac{e\hbar}{2mc} \vec{\sigma} \cdot (\vec{v} \times \vec{A}) \right] u_A$

Now  $\vec{v} \times \vec{A} = \vec{B}$  ,  $\frac{e\hbar}{2mc} \vec{\sigma} = \mu_B \vec{\sigma}$  where  $\mu_B =$  Bohr magneton

Thus the non-relativistic Hamiltonian is

$H_{NR} = \frac{(\vec{p} - \frac{e\vec{A}}{c})^2}{2m} + eA_0 - \vec{\mu} \cdot \vec{B}$

Here  $A_0 = A^0 = \phi$  is the electrostatic potential.

Spin-orbit interaction: Let us consider ~~the~~ the motion of a spin  $\frac{1}{2}$  particle in an electrostatic field retaining terms up to order of  $v^2/c^2$ . For electrostatic field  $\vec{A}=0$  and  $eA_0=V(\vec{r})$ . Thus

$$[E' - V(\vec{r})] u_A = c(\vec{\sigma} \cdot \vec{p}) u_B \quad \text{--- (1)}$$

$$[E' + 2mc^2 - V(\vec{r})] u_B = c(\vec{\sigma} \cdot \vec{p}) u_A \quad \text{--- (2)}$$

$$\Rightarrow u_B = \frac{c(\vec{\sigma} \cdot \vec{p})}{2mc^2 \left[ 1 + \frac{E' - V(\vec{r})}{2mc^2} \right]} \approx \left[ 1 - \frac{E' - V(\vec{r})}{2mc^2} \right] \frac{(\vec{\sigma} \cdot \vec{p})}{2mc} u_A \quad ; \text{ in the weak}$$

field limit, where we have used, therefore  $|E' - eA_0| = |E' - V(\vec{r})| \ll mc^2$ .

Using above equation in (1), we get

$$[E' - V(\vec{r})] u_A = c(\vec{\sigma} \cdot \vec{p}) u_B \approx \frac{(\vec{\sigma} \cdot \vec{p})}{2m} \left[ 1 - \frac{E' - V(\vec{r})}{2mc^2} \right] (\vec{\sigma} \cdot \vec{p}) u_A$$

$$\Rightarrow \left\{ \frac{\vec{\sigma} \cdot \vec{p}}{2m} \left[ 1 - \frac{E' - V(\vec{r})}{2mc^2} \right] (\vec{\sigma} \cdot \vec{p}) + V(\vec{r}) \right\} u_A = E' u_A \quad \text{--- (3)}$$

$$H'_{NR} \quad \text{H+W} \quad (\vec{\sigma} \cdot \vec{p}) f(\vec{r}) (\vec{\sigma} \cdot \vec{p}) = ??$$

$$H'_{NR} = \left[ 1 - \frac{E' - V(\vec{r})}{2mc^2} \right] \frac{\hat{p}^2}{2m} + V(\vec{r}) + \frac{\hbar}{4m^2c^2} (\vec{\sigma} \cdot (\vec{\nabla} V \times \hat{p})) - \frac{i\hbar}{4m^2c^2} \vec{\nabla} V \cdot \hat{p}$$